



SAFFRON
Tactical and Risk Managed Portfolios

Form ADV Part 2B Brochure Supplement

Bradley J. Horn

Personal CRD Number: 1645274

Saffron Capital LLC
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Minneapolis, MN 55408-2161
Tel: 612-227-2485

Item 1: Cover Page

This brochure supplement provides background information about Bradley J. Horn and expands on the brochure for Saffron Capital LLC. *Please call 612-227-2485 if you did not receive the company disclosure brochure or if you have any questions about this supplement.*

Additional information about Bradley Jason Horn (CRD #1645274) is also available on the SEC's website at www.adviserinfo.sec.gov.

Document Date: June 21, 2023

Item 2: Educational Background and Business Experience

A. Name

Bradley Jason Horn

B. Date of Birth

May 1, 1962

C. Educational Background and Professional Designations:

Education

MSc, Colorado School of Mines, Mineral Economics and Operations Research, 1993
BA, Bates College, Economics, 1984

Business Background Last 15 Years

NextEra Energy Resources, LLC 4/2006 – 6/2012

- Director, Wind Analytics and Engineering
 - Lead a team of 30 responsible for the company's wind resource assessment, turbine layout design, and project engineering. Supported new project development and investing in project acquisitions.
 - Served as owner's engineer for the delivery of 50+ wind projects into production, providing independent economic analysis, project risk management, and support for project financing / long-term investor management.

Qatar Petroleum, 05/2013-07/2020

- Advisor, New Energy
 - Strategic business development and energy investments, including the delivery of an 800 MW solar PV project for the 2022 World Cup.
- Advisor, Corporate Strategy and Planning Directorate,
 - Development of a project risk management framework for strategic investments and spanning 165 existing infrastructure projects.

Saffron Capital, LLC, 09/2019-present

- Business founder and principal
 - Responsible for business management, quantitative planning and investing, and regulatory compliance.

Item 3: Disciplinary Information

There are no legal, regulatory, or disciplinary events to report that are material or immaterial to a client's evaluation of the advisor and advisory business.

Item 4: Other Business Activities

Saffron Capital LLC and its principal, Bradley J. Horn, have no other business interests or activities to report. Specifically, there is no other activity that can undermine support or distract from client financial

planning and investment management. There are no conflicts of interest related to other business activities. If a conflict of interest should arise, the company will disclose the conflict.

Item 5: Additional Compensation

The company's principal, Bradley J. Horn, earns compensation primarily from fees charged directly to clients for planning and investment services rendered.

Company policy is to reject third-party sales commissions from the sale of any financial product. Hence, there are no conflicts of interest in the advice rendered to clients regarding investment products.

Mr. Horn also earns income as an Arbitrator for the Financial Industry Regulatory Authority (FINRA). In this capacity, he helps to resolve investor disputes or disputes between industry parties. Arbitration also seeks to protect investor interests and market integrity by reinforcing the application of statutory and securities laws.

Item 6: Supervision

Bradley J. Horn manages the business activities of Saffron Capital. He adheres to applicable regulatory requirements, together with all policies, procedures, and compliance controls outlined in the firm's operations manual, code of ethics, and compliance calendar. Internal policies and procedures are available on demand for review at the offices of Saffron Capital.

Item 7: Requirements for State Registered Advisers

This disclosure is required by state securities authorities. It is provided for your use in evaluating this investment advisor's suitability.

Bradley J. Horn has NOT been involved in any of the events listed below.

- An arbitration claim alleging damages or liability of more than \$2,500 and involving:
 - an investment or any investment-related business.
 - fraud including false statement(s) or omissions,
 - theft, embezzlement, or other wrongful taking of property,
 - bribery, forgery, counterfeiting, or extortion, or
 - dishonest, unfair, or unethical practices.

- A civil, regulatory, or administrative proceeding for any of the following:
 - an investment or any investment-related business,
 - fraud including false statement(s), or omissions,
 - theft, embezzlement, or other wrongful taking of property,
 - bribery, forgery, counterfeiting, or extortion, or
 - dishonest, unfair, or unethical practices.

- The subject of a bankruptcy, be it personal or business.