



SAFFRON
Tactical and Risk Managed Portfolios

Form ADV Part 2B Brochure Supplement

Advisor Background Statement

Bradley J. Horn

Personal CRD Number: 1645274

Saffron Capital LLC
2836 Lyndale Ave South STE 260
Minneapolis, MN 55408-2161
Tel: 612-227-2485

Item 1: Cover Page

This brochure supplement provides background information about Bradley J. Horn and expands on the company brochure for Saffron Capital LLC. *Please call 612-227-2485 if you did not receive the company disclosure brochure or if you have any questions about this supplement.*

Additional information about Bradley Jason Horn (CRD #1645274) is also available on the SEC's website at www.adviserinfo.sec.gov.

Document Date: December 09, 2025

Item 2: Educational Background and Business Experience

A. Name

Bradley Jason Horn

B. Date of Birth

May 1, 1962

C. Educational Background and Professional Designations:

Education

MSc, Colorado School of Mines, Operations Research, 1993
BA, Bates College, Economics, 1984

Business Background Last 15 Years

NextEra Energy Resources, LLC 4/2006 – 6/2012

- Director, Wind Engineering
 - Lead a team of 30 responsible for the company's wind resource assessment, turbine layout design, and project engineering. Supported new project development efforts, project acquisitions, project financing, and shareholder relations.
 - Served as owner's engineer for the delivery of 49 wind energy projects into production and the acquisition of 18 wind farms.

Qatar Petroleum, 05/2013-07/2020

- Advisor, Corporate Strategy, New Energy
 - Strategic business development and energy investments, including the development of a project pipeline for 1,500 MW, of which 800 MW of solar PV progressed from development to project financing and formal tender.
- Advisor, Corporate Strategy, Project Planning and Risk Management,
 - Development of a project and credit risk management framework spanning 165 existing oil and gas capital projects. Acted as CFO representative in the management of acute project risks, including investment partner or vendor disputes, bankruptcies, and supply chain risks..

Saffron Capital, LLC, 09/2019-present

- Business founder and principal
 - Responsible for client service delivery, investing management, and regulatory compliance.

Item 3: Disciplinary Information

There are no legal, regulatory, or disciplinary events to report that are material or immaterial to a client's evaluation of the advisor and the advisory business. This has been confirmed by an FBI background check and ongoing regulatory reporting requirements.

Item 4: Other Business Activities

Saffron Capital LLC and its principal, Bradley J. Horn, have no other business interests or activities to report. Specifically, there is no other activity that can distract from client financial planning and investment management. There are also no conflicts of interest related to other business activities. If a conflict of interest should arise, the company will disclose the conflict.

Item 5: Additional Compensation

The company's principal, Bradley J. Horn, earns compensation primarily from fees charged directly to clients for planning and investment services rendered. Additional sources of income include:

- Market research income derived from published market analysis articles.
- Paid arbitrator for the Financial Industry Regulatory Authority (FINRA). Arbitration serves to protect investor interests and market integrity by reinforcing statutory and securities laws.

Item 6: Supervision

Bradley J. Horn manages the business activities of Saffron Capital. He complies with applicable regulatory requirements, together with all policies, procedures, and compliance controls outlined in the firm's operations manual, code of ethics, and compliance calendar. Internal policies and procedures are available on demand for review by clients at the offices of Saffron Capital.

Item 7: Requirements for State Registered Advisers

This disclosure is required by state securities authorities. It is provided for your use in evaluating this investment advisor's suitability.

Bradley J. Horn has NOT been involved in any of the events listed below.

- An arbitration claim alleging damages or liability of more than \$2,500 and involving:
 - an investment or any investment-related business.
 - fraud including false statement(s) or omissions,
 - theft, embezzlement, or other wrongful taking of property,
 - bribery, forgery, counterfeiting, extortion, or
 - dishonest, unfair, or unethical practices.
- A civil, regulatory, or administrative proceeding for any of the following:
 - an investment or any investment-related business,
 - fraud including false statement(s), or omissions,
 - theft, embezzlement, or other wrongful taking of property,
 - bribery, forgery, counterfeiting, extortion, or
 - dishonest, unfair, or unethical practices.
- Bankruptcy, be it personal or business.